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| Search Notes | Application/Control No. | Applicant(s)/Patent Under Reexamination |
| | 10604762 | BALLMAN, GLENN |
|  | Examiner | Art Unit |
| | Gregg, Mary M | 4124 |

| SEARCHED | | | |
|-----------------|--|---------------------------|-----------------|
| Class | Subclass | Date | Examiner |
| 705 | 37, 26, 36, 38, 40, 39 (Combined with text search) | 09/28/2007, 10/09/2007 | MMG |

| SEARCH NOTES | | | |
|--|--|-----------------|--|
| Search Notes | Date | Examiner | |
| Plus search | 08/06/2007 | | |
| Google search: pooling securities, trading system, identi\$, Pool\$ securit\$, pool\$ and investment\$, short and sell, bucket\$ an securit\$@ad<2004d | 10/11/2007. 10/10/2007, 10/09/2007, 10/02/2007, 10/01/2007, 09/28/2007, 09/27/2007 | MMG | |
| See East search history | 07/23/2008 | MMG | |
| Google search - mutual funds and short selling, creating a mutual fund | 07/23/2008 | MMG | |

| INTERFERENCE SEARCH | | | |
|----------------------------|-----------------|-------------|-----------------|
| Class | Subclass | Date | Examiner |
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